

033004 13281 U.S. PTO

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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. MERCK-2212-C02

First Inventor Stephanie ANDES et al.

Title MULTILAYER PIGMENTS BASED IN COATED METAL PLATELETS

Express Mail Label No.

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 19]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets ]
5. ☐ Oath or Declaration [Total Pages 5]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATIONS PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: \_\_\_\_\_

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: 10 / 453,479

Prior application information: Examiner S. Manlove

Group / Art Unit: 1755

For **CONTINUATION** or **DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

23599

or ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

James E. Ruland

Registration No. (Attorney/Agent)

37,432

Signature

Date

March 30, 2004

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17302 U.S. PTO  
10/811867

033004

13281 U.S. PTO

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# FEE TRANSMITTAL

## for FY 2001

**Patent fees are subject to annual revision.**

**Complete if Known**

Application Number

Filing Date

March 30, 2004

First Named Inventor

Stephanie ANDES et al.

Examiner Name

Group / Art Unit

**TOTAL AMOUNT OF PAYMENT**(\$)  
810

Attorney Docket No.

**MERCK-2212-C02**

**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

**Deposit  
Account  
Number**

13-3402

**Deposit  
Account  
Name**

Millen, White, Zelano & Branigan, P.C.

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check    ☐ Credit card    ☐ Money Order    ☐ Other

### FEE CALCULATION

1. BASIC FILING FEE

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description        | Fee Paid |
|----------------------|-----------------------|----------------------|-----------------------|------------------------|----------|
| 1001                 | 750                   | 2001                 | 375                   | Utility filing fee     | 770      |
| 1002                 | 330                   | 2002                 | 165                   | Design filing fee      |          |
| 1003                 | 520                   | 2003                 | 260                   | Plant filing fee       |          |
| 1004                 | 750                   | 2004                 | 375                   | Reissue filing fee     |          |
| 1005                 | 160                   | 2005                 | 80                    | Provisional filing fee |          |

**SUBTOTAL (1)**

(\$)770

## 2. EXTRA CLAIM FEES

|                       |    |       | Extra<br>Claims |   | Fee from<br>below |   | Fee<br>Paid |
|-----------------------|----|-------|-----------------|---|-------------------|---|-------------|
| Total Claims          | 11 | -20** | = 0             | X | 18                | = | 0           |
| Independent<br>Claims | 1  | -3**  | = 0             | X | 86                | = | 0           |
| Multiple<br>Dependent |    |       |                 | X |                   | = | 0           |

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  |
|----------------|-----------------|----------------|-----------------|--|
| 1202           | 18              | 2202           | 9               | Claims in excess of 20                                     |
| 1201           | 84              | 2201           | 42              | Independent claims in excess of 3                          |
| 1203           | 280             | 2203           | 140             | Multiple dependent claim, if not paid                      |
| 1204           | 84              | 2204           | 42              | ** Reissue independent claims over original patent         |
| 1205           | 18              | 2205           | 9               | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$)  
0

\*\*or number previously paid, if greater. For Reissues, see above

**FEE CALCULATION (continued)**

### 3. ADDITIONAL FEES

| Fee Code | Large Entity Fee (\$) | Fee Code | Small Entity Fee (\$) | Fee Description  | Fee Paid |
|----------|-----------------------|----------|-----------------------|--|----------|
| 1051     | 130                   | 2051     | 65                    | Surcharge - late filing fee or oath  |          |
| 1052     | 50                    | 2052     | 25                    | Surcharge - late provisional filing fee or cover sheet.                    |          |
| 1053     | 130                   | 1053     | 130                   | Non-English specification  |          |
| 1812     | 2,520                 | 1812     | 2,520                 | For filing a request for reexamination                                     |          |
| 1804     | 920*                  | 1804     | 920*                  | Requesting publication of SIR prior to Examiner action                     |          |
| 1805     | 1,840*                | 1805     | 1,840*                | Requesting publication of SIR after Examiner action                        |          |
| 1251     | 110                   | 2251     | 55                    | Extension for reply within first month                                     |          |
| 1252     | 410                   | 2252     | 205                   | Extension for reply within second month                                    |          |
| 1253     | 930                   | 2253     | 465                   | Extension for reply within third month                                     |          |
| 1254     | 1,450                 | 2254     | 725                   | Extension for reply within fourth month                                    |          |
| 1255     | 1,970                 | 2255     | 985                   | Extension for reply within fifth month                                     |          |
| 1401     | 320                   | 2401     | 160                   | Notice of Appeal   |          |
| 1402     | 320                   | 2402     | 160                   | Filing a brief in support of an appeal                                     |          |
| 1403     | 280                   | 2403     | 140                   | Request for oral hearing   |          |
| 1451     | 1,510                 | 1451     | 1,510                 | Petition to institute a public use proceeding                              |          |
| 1452     | 110                   | 2452     | 55                    | Petition to revive – unavoidable   |          |
| 1453     | 1,300                 | 2453     | 650                   | Petition to revive – unintentional   |          |
| 142      | 1,300                 | 242      | 650                   | Utility issue fee (or reissue)   |          |
| 1502     | 470                   | 2502     | 235                   | Design issue fee   |          |
| 1503     | 630                   | 2503     | 315                   | Plant issue fee  |          |
| 1460     | 130                   | 1460     | 130                   | Petitions to the Commissioner  |          |
| 1807     | 130                   | 1807     | 130                   | Petitions related to provisional applications                              |          |
| 1806     | 180                   | 1806     | 180                   | Submission of Information Disclosure Stmt                                  |          |
| 8021     | 40                    | 8021     | 40                    | Recording each patent assignment per property (times number of properties) | 40       |
| 1809     | 750                   | 2809     | 375                   | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 1810     | 750                   | 2810     | 375                   | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 1801     | 750                   | 2801     | 375                   | Request for Continued Examination (RCE)                                    |          |
| 1802     | 900                   | 1802     | 900                   | Request for expedited examination of a design application                  |          |


Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**

(S) 40

## SUBMITTED BY

|                          |   |   |        |                  |                |
|--------------------------|---|---|--------|------------------|----------------|
| <b>Name (Print/Type)</b> | James E. Ruland   | <b>Registration No. Attorney/Agent)</b> | 37,432 | <b>Telephone</b> | (703) 243-6333 |
| <b>Signature</b>         |  |   |        | <b>Date</b>      | March 30, 2004 |

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